Immigrant group size and political mobilization: Evidence from European migration to the United States☆

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Abstract

Immigration to democratic nations generates new groups of potential voters. This paper investigates how the electorate share of immigrant groups influences their likelihood of becoming politically mobilized, focusing on the mechanism of coalition formation with the Democratic Party. Using newly assembled data on ethnic enclaves in American cities at the start of the twentieth century, I show immigrants were more likely to mobilize politically as their share of the local electorate grew larger. This effect is driven by political mobilization in voting districts where the Democratic Party likely needed an immigrant group’s vote to win elections. I also consider the shape of the electorate share effect, showing it is nonlinear and consistent with a political economy model of coalition formation.

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1. Introduction

The question of how minority groups access public goods has received a great deal of attention in economics, particularly from the perspective of ethnic fractionalization studies. Economists have documented that more diverse municipalities spend less on education and infrastructure and have residents who are less likely to participate in civic organizations or support welfare programs (Alesina et al., 1999; Alesina and La Ferrara, 2000; Luttmer, 2001). However, the question of how minorities, and particularly immigrants, many of whom are from undemocratic sending countries, come to participate in the political process themselves remains largely unexplored. Of particular interest to scholars and policy makers is whether immigrants are more likely to vote as their ethnic group’s share of the electorate grows and their political clout increases. Empirical investigation of this question has been thus far limited by measurement problems in contemporary voting datasets.

The first measurement problem that complicates empirical investigation of immigrant political mobilization is that many foreign-born individuals in the present day United States are undocumented and thus ineligible for citizenship. However, existing datasets do not contain information on legal status, rendering it impossible to know which immigrants are potential voters and hence what share of the electorate is composed of foreign-born individuals eligible to participate in the political process. The second measurement problem is that few datasets combine measures of political participation, detailed demographic characteristics, and political geographic identifiers below the state level.1 Previous work has necessarily relied on aggregate regressions using voter turnout as the dependent variable, making it difficult

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1 An exception is the November CPS supplement which has measures of voting behavior and county-level geographic identifiers. This data source was used by Jang (2009) to study immigrant group size and voting behavior and by Oberholzer-Gee and Waldfgof (2005) to study group size and black political participation. Although counties are smaller than states, they are not an important political unit and hence cannot be used to study the role of electorate share on immigrant political participation unless they are aggregated to the state level.
to know what is driving any correlation between immigrant electorate share and turnout.\(^2\)

To overcome the limitations of contemporary data for studying immigrant political mobilization, I turn instead to the mass migration from Europe to the United States in the early twentieth century. This setting has several key advantages for the study of why immigrants vote. The United States maintained a nearly open border to immigration until 1921, when the Emergency Quota Act was passed, and every European immigrant who arrived prior to this date had equal capacity to initiate citizenship proceedings and participate in the political process.\(^3\) With the open border, citizenship was optional for immigrants simply interested in living and working in the United States. Becoming a citizen was necessary only to obtain the right to vote or run for public office, and there were virtually no publicly provided benefits that were available to citizens only.\(^4\) Therefore, naturalization can be used as a proxy for political mobilization in this time period, before the barriers and economic motivations faced by immigrants wishing to become American citizens in the present day became important.

To construct a dataset covering immigrant citizenship attainment and local electorate share in the early twentieth century, I collected the universe of census records from the genealogy website Ancestry.com and computed the size of ethnic enclaves in wards, the political unit used to elect city councilmen, for five major cities in 1900 and 1910. City governments invested substantial resources in infrastructure related to sanitation and transportation at the start of the century. Immigrant groups could compete for a share of the associated patronage if they became citizens, registered to vote, and translated their numbers into credible voting blocs. The Financial Statistics of Cities give a glimpse of the magnitude of these turn of the century municipal investments: the replacement value of New York’s sewers rose from $46 million to $53 million dollars between 1907 and 1910 alone, an increase of 14% (1910 dollars).\(^5\) The value of Baltimore’s sewers more than doubled over the same period, and the value of paved roads in the city rose by 16%.

This process of political incorporation was often encouraged and facilitated by the local Democratic Party, whose positions on allowing ethnic parochial schools and opposing the prohibition of alcohol appealed to immigrants. Because the newly arrived immigrants I consider in the paper were all minorities in their wards, coalition formation with other Democratic voters was the most likely mechanism through which immigrants became politically mobilized. Using a simple model, I show that immigrants should be more likely to mobilize politically as their ethnic group grows larger and is more likely to be decisive in local elections, increasing the expected return from including the immigrant group in a coalition with existing Democratic voters. However, this effect should taper off or even reverse as ethnic groups grow beyond the size needed to form a minimal winning coalition with the Democrats, reducing the return for mobilization additional members. The model thus predicts a nonlinear relationship between electorate share and political mobilization.

Using the newly assembled dataset on naturalization and immigrant group electorate share in city wards, I show that immigrants mobilized in a pattern consistent with the model. The predicted nonlinear relationship between electorate share and naturalization attainment is evident for all enclaves in the data, but the effect is driven by immigrants living in wards where there was good potential for coalition formation with the local Democratic Party. To measure the size of the existing Democratic Party in a ward (and hence determine where a new immigrant coalition partner would be attractive), I use the share of the population composed of individuals whose ethnic ancestry made them likely to align with the urban Democratic Party. For immigrants living in enclaves that could likely form a winning coalition with the local Democratic group, an increase in electorate share from 8 to 16% (a standard deviation below the mean to the mean electorate share) is associated with a 15 percentage point increase in naturalization likelihood, an increase of 30% with respect to the mean naturalization rate. Using English speaking as a placebo test, I show that sorting on propensity to assimilate generally is unlikely to explain these results.

My findings contribute to the literature on the social and economic assimilation process of immigrants to the United States. Economists have investigated many aspects of immigrant assimilation and convergence, particularly earnings and education (Chiswick, 1978; Borjas, 1985; LaLonde and Topel, 1991; Abramitzky et al., 2014; Card, 2005; Lleras-Muney and Shertzer, 2014). This paper studies the political dimension of immigrant assimilation, which previously received much less attention in economics. My methods also provide insight into the question of why people vote more generally. The primary finding of this paper, that ethnic electorate share influences an immigrant’s decision to participate in the political process, underscores the importance of considering social structures in models of voter turnout and provides new evidence for the validity of group-based approaches (for instance, Ublaner, 1989; Morton, 1991; Shachar and Nalebuff, 1999).

The paper is organized as follows: Section 2 describes the relevant historical context and develops a simple model of immigrant political mobilization. Section 3 covers dataset construction and sample selection. Section 4 addresses the econometric specification and identification issues in the analysis. Section 5 provides the main results on electorate share and naturalization. Section 6 concludes.

2. Immigrant political mobilization background and theory

2.1. Historical context

The United States maintained an open border to European immigrants in the late nineteenth and early twentieth centuries, and local patronage politics played a prominent role in the lives of the millions of newcomers who settled in the industrial cities in the Northeast and Midwest. Locally elected ward aldermen, or city councilmen, served as a vital link to services and favors from the central city government (Kornbluh, 2000, p. 129).\(^6\) To secure the loyalty of new immigrants and remain politically competitive, aldermen strategically provided informal public assistance to their constituents as well as formal representation before relevant city boards.\(^7\) It was possible for aldermen to strategically focus their efforts to benefit a particular group in their ward due to the prevailing custom of “aldermanic courtesy” in which council committees deferred to an alderman on any issue that dealt solely with his ward (Teaford, 1984, p. 26). The political mobilization of these new immigrants, most of whom had never participated in an

\(^2\) A recent paper on the impact of the Voting Rights Act sidestepped this problem by instead studying the shift of public resources towards black localities after African Americans’ voting rights increased (Cascio and Washington, 2014).

\(^3\) Immigrants from European countries were de facto permanent residents in the sense that they could live and work in the United States indefinitely without a visa or initiating naturalization proceedings. Indeed, the notion of an undocumented immigrant (e.g. an alien without a valid immigration visa) did not exist until the Immigration Act of 1924.

\(^4\) The federal government offered little in the way of retirement benefits or welfare to citizens that could serve as motivation for immigrants to begin the naturalization process. Access to education was also not a motivation for resident aliens to naturalize: illiterate immigrants above the compulsory schooling age were encouraged to attend publicly-funded evening schools in many cities (Hill, 1919).

\(^5\) These data come from the Financial Statistics of Cities published in 1907 and 1910. The 1907 volume was the first to report replacement value of public infrastructure in the twentieth century.

\(^6\) Some cities switched to at-large elections in the early twentieth century. The cities in my sample were still using a system of ward-level elections to choose aldermen between 1900 and 1909.

\(^7\) In the colorful collection of talks by George W. Plunkitt about his career in the Tammany Hall political machine in New York City, the former aldermen describes how he bought clothes for fire victims, gave candy to children, and matched up young men to jobs with local businesses (Riordan |1905| 1994, p. 64). Aldermen were also responsible for presenting public works and licensing proposals to the city council.
In the Appendix I investigate the optimal mobilization choice in three cases corresponding to different levels of Democratic Party strength and illustrate the predictions of the model using a simple simulation. The testable implications of the model are twofold. First, an extensive margin effect predicts a sharp rise in the likelihood of an immigrant being mobilized as his group grows larger and a potential coalition between his ethnic group and the Democratic Party is likely to win the election. However, as the immigrant group increases in relative size beyond what is needed to win the election, the Democratic leader will choose to mobilize a decreasing fraction of the group. This feature of the model is consistent with the notion of minimal winning coalitions due to Riker (1962), which states that, because the payoff to any victorious coalition is identical, winning coalitions should only contain enough voters to win. An intensive margin effect is thus evident as immigrant groups grow relatively large and fewer new members are required to maintain a winning coalition. The overall impact of immigrant group size on political mobilization is thus nonlinear, I discuss how I test these predictions using the demographic characteristics of ward electorates in Section 4.

2.3. Naturalization as a measure of political mobilization

To measure the political mobilization of immigrants, I use their naturalization status, which was recorded in the 1900 and 1910 censuses for every foreign-born person. Should he decide to become an American citizen, any white male immigrant could file a declaration of intention, or “first papers,” in a court of law after a residency period in the United States of at least two years. After having completed a total residency period of five years, the immigrant could complete the citizenship process by taking an oath of allegiance and filing a petition of naturalization, or “second papers.” I focus on men in the empirical analysis because women and children usually received derivative citizenship from the male head of the family when he completed the naturalization process.

In order to vote, a naturalized immigrant next needed to register as a voter in his city. Beginning in the 1890s, many states adopted personal registration systems, residency requirements, and literacy tests in an attempt to reshape the electorate (Kleppner, 1982, p. 60). These policies had the effect of greatly reducing voter turnout over the early twentieth century, ushering in an era of relative demobilization. The increased difficulty of becoming a naturalized, registered voter further highlights the potential for political parties to selectively mobilize immigrant groups. Importantly, because most voting policies were set at the state level, immigrants living in different wards in the same city would have faced very similar legal barriers to becoming registered voters.

3. Dataset construction and sample selection

I combine three data sources for the main empirical work. First, I employ detailed digital maps of five major cities to establish consistent political geography between 1900 and 1910. I then use newly available 100% census samples of the electorate from a genealogy website to precisely measure the size of ethnic group electorate share within wards. Finally, I rely on smaller census microdata samples to obtain data on the naturalization status of individuals, which was not digitized in the 100% samples.

The Center for Population Economics (CPE) at the University of Chicago provided the redistricting histories for the wards of Baltimore, Boston, Chicago, Manhattan, and Philadelphia used in this paper. The sample is thus composed of five of the six largest cities in the United States in 1900, all of them major immigrant destinations. Furthermore, all the cities in the sample had local (at the ward level) elections for city councilmen through at least 1908. Boston had a Common Council with three representatives locally elected from each ward in the city. Otherwise all the cities in the sample had locally elected aldermen or city councilmen with each representing one ward or assembly district.

8 Tammany Hall Boss Richard Croker summarized his machine’s mobilization efforts thusly: “Tammany looks after them for the sake of their vote, grafts them onto the Repub- lic, makes citizens of them in short; and although you may not like our motives or our methods, what other agency is there by which so long a row could have been hoed so quickly or so well?” (as cited in Werner, 1928).

9 An observer of Tammany Hall noted that “every time an election comes around, the Republicans and Democrats cater to the German element ... or the Jewish ... and tell them they are the greatest things that ever happened” (Mendelson, 1976, p. 159).

10 The Republicans frequently referred to Democrats as the “Catholic-Democratic” Party and the “Saloon Party” to reinforce these associations (Kleppner, 1979, pp. 234–246).

11 I reviewed lists of aldermen for the cities I study in this paper and found virtually no individuals with Italian, Czech, Greek, Polish, or Russian surnames prior to 1910.
Boston switched to at-large aldermanic elections in 1909 but was under a local election regime prior to this date. The sample reflects the typical institutional environment facing many European newcomers to the large cities of the Northeast and Midwest.

Unlike Congressional districts, city wards were not legally required to be redrawn at any point, and cities could simply add wards to their existing system when they annexed land. Although all five of the cities made changes to their ward systems over the decade, I am able to use about 80% of the wards present in 1900 in the panel. The excluded wards are mainly from outlying areas that were annexed or split into two wards at some point between 1900 and 1910. Thus my sample consists primarily of the core urban wards in each city. The detailed CPE maps also enable me to address redistricting events from early in the decade that would otherwise render the ward systems from the two censuses incomparable. In particular, both the ward systems in Chicago and Manhattan were redrawn shortly after the 1900 census, so the wards in place in 1900 and 1910 were very different from each other. To create a panel of wards, I use census enumeration districts (small administrative units used internally by the Census Bureau) from 1900 to construct synthetic 1910 wards for the year 1900. Details on this procedure and a breakdown of included wards can be found in the online appendix.

The second source of data is a newly available 100% sample of census records covering the population of these five cities, with both ward and enumeration district identifiers, taken from the genealogy website AncestryLibrary.com. These counts are a substantial improvement over existing sources of data. IPUMS samples are at present only 5% and 1.4% of the population for 1900 and 1910, respectively, and are insufficient for precisely estimating the size of minority immigrant groups at the ward level. Furthermore, using AncestryLibrary.com allows me to make counts by gender, age, year of immigration, and place of birth so the potential electorate for each group and ward in the sample can be precisely measured. I restrict the sample to men aged 21 and older since only these men could vote during this period. Because only foreign-born men who had been in the United States for at least two years were eligible for citizenship, I also restrict the potential electorate to natives and immigrants who arrived at least two years before the respective censuses of 1900 and 1910. To compute the ethnic group electorate shares, I classify individuals based on their reported place of birth (see the Data Appendix for details).

AncestryLibrary.com did not digitize the naturalization status of immigrants, so my third source of data is the Integrated Public Use Microdata (IPUMS) microdata samples (Ruggles et al., 2008). I use the 5% sample of the 1900 census and 1.4% sample of the 1910 census. I match foreign-born respondents living in the five sample cities to their ward of residence and to their ethnic group using place of birth. My main dependent variable, an indicator for having initiated the naturalization process, is equal to one if the individual has either first or second papers. To address the concern that I may not observe an immigrant in the same ward in which he became a citizen, I restrict the baseline sample to recently arrived immigrants who had been in the United States for 15 years or less. I explore other durations in Section 5 and show the results are similar for cutoffs of between ten and twenty years in the United States.

The model developed in Section 2 assumes a fixed cost for mobilizing an immigrant group, reflecting the effort required by a political machine to sway the leadership of ethnic enclaves. Differentiating ethnic enclaves—which would have had the social networks and established institutions necessary to mobilize the group—from a scattered or recently arrived set of families with the same ancestry living in a ward is an empirical challenge. Because I cannot directly measure the number of relevant local ethnic institutions, I instead develop a measure of enclave status using the year of immigration variable in the 100% census samples. Specifically, I create a count of the number of individuals in each ward and ethnic group cell who had lived in the U.S. for at least a decade. The tenth percentile of this enclave measure is about 400 individuals in 1900. I use this number as a cutoff to characterize immigrant populations in a given ward as enclaves. For example, an Italian living in a ward that had 500 individuals of Italian birth present since 1890 would be classified as “in an enclave” whereas a Pole living in a ward that had 50 individuals of Polish birth present since 1890 would be classified as “outside an enclave.”

While the empirical work in this paper focuses on the role of electorate share in the context of coalition formation to explain why immigrants become mobilized, a separate literature emphasizes social network size as a determinant of economic and political outcomes for immigrants. Economists have demonstrated that the density of social networks impacts immigrant employment and welfare enrollment (Bertrand et al., 2000; Munshi, 2003; Beaman, 2012). Recent scholarship has also argued that larger and more connected social networks facilitate the exchange of information relevant to political engagement (Chay and Munshi, 2013; Halberstam and Knight, 2014). I explore the determinants of naturalization for immigrants living both inside and outside of enclaves in Section 5, shedding light on the role of established social networks in determining political mobilization in this context.

The summary statistics in the top panel of Table 1 cover the 104 wards from the panel that had at least one IPUMS record of a recently arrived male immigrant from one of the six sending countries studied in this paper. These ward-level statistics give a glimpse of the magnitude of immigration flows to large industrial cities in the early twentieth century: the average ward population in the sample is 37% foreign born by 1910. The average size of the potential electorate in these wards (men aged 21 and above, excluding immigrants who have lived in the U.S. for less than two years) is just over 10,500 men per ward. The Irish, who had begun arriving sixty years earlier, were a significant fraction of the population: first and second-generation Irish immigrants comprised 20% of the average ward electorate.

The summary statistics of individual characteristics of recent immigrants are presented in the lower panel of Table 1. There are 141 enclaves across the 104 wards, and the summary statistics for immigrants living in enclaves are provided in the first two columns. The average electorate share was 16% in 1900 and 14% in 1910 with some groups as large as 35%. Interestingly, the average decline in electorate share is driven entirely by Germans, who started off the century as a relatively large group in urban areas but found their numbers diluted by composition of post-1900 immigration. The electorate share of Germans declined from 18% to 10% over the decade while the average electorate share of the other immigrant groups increased from 14% to 16%. The ward electorate share and group size for immigrants living outside of enclaves were both much smaller, as shown in the next two columns.

The naturalization rate fell from 51% to 26% over the decade, consistent with the secular decline in new immigrant naturalization after 1900 reported in previous work (Trounstine, 2008). The decline in immigrants applying for citizenship is another symptom of the “Era of

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12 A system of Assembly Districts was used to elect aldermen in Manhattan, and for this reason I use Assembly Districts to construct electorate measures in Manhattan. For simplicity of exposition, I continue to use the term “ward” to refer to voting units in the paper.

13 I use men and women of any age for this exercise since any immigrant could contribute to the social network of the group. I also experimented with an alternate enclave measure that is scaled by the size of the ward. Specifically, I defined enclaves as any immigrant group whose established population (in the U.S. for at least ten years) was at least two percent of the ward population in 1900. The main result presented in Section 5 is robust to this alternate measure (available upon request).

14 I exclude the nine immigrant enclaves in my sample that were approaching majority status in their ward and focus on minority groups comprising less than 35% of the ward electorate. I found suggestive evidence that the incentive to mobilize again increases for groups nearing majority status in their wards; however, I have too few groups in this range to investigate this idea systematically.
4.1. Naturalization as a measure of political mobilization

To further justify the use of naturalization status as a proxy for political engagement, I provide evidence that foreign-born men who became naturalized citizens in fact participated in elections. The anonymous and aggregate nature of voting data makes a direct test impossible since the individual characteristics of the participants in early twentieth century urban elections are unobserved. However, I can document that higher voter turnout was associated with a larger number of naturalized foreign-born male residents of city wards, all else equal. I use two sources of data for this exercise. The first source is a unique dataset covering the wards of Chicago compiled by Skogan (1976) that contains turnout rates and the number of registered voters by ward. The second source is the national, county-level turnout statistics compiled by Clubb, Flanigan, and Zingale (ICPSR 86111). I combine these datasets with IPUMS samples from 1900 and 1910 to estimate the number of naturalized immigrant men living in ward or county.

I partition the political electorate and estimate the number of ballots cast in an election as a function of the number of naturalized, foreign-born male immigrants aged 21; the number of native-born white men aged 21 and over; the number of native-born, nonwhite men aged 21 and over and for the Chicago data estimate:

$$ Ballots_{kt} = \alpha + \beta(Naturalized\ Men\ 21+)_{kt} + \gamma(Native\ White\ Men\ 21+)_{kt} + \pi(Native\ Nonwhite\ Men\ 21+)_{kt} + \mu X_{kt} + \theta(Year)_{kt} + \epsilon_{kt} $$

(1)

where k indexes wards and t indexes the year. I pool data from 1900 and 1910 and include year fixed effects when using the Chicago data (redistricting in 1901 prevents me from including ward fixed effects). For the national regressions, I use a version of this specification that includes both year and county fixed effects. All specifications include a vector $X_{kt}$ of controls for voter turnout including share of the voting population that is literate, share in white collar occupations, and five age dummies.

4.2. Estimating equation and identification of electorate share effect

To estimate the effect of electorate share on immigrant political mobilization, I take advantage of the variation in the relative size of ethnic enclaves in different wards across time. The main estimating equation relates changes in the naturalization likelihood of immigrants to changes in the share of the electorate comprised of their ethnic group. Focusing on first differences allows me to disentangle the impact of electorate share from other unobserved determinants of voting. In particular, I include ward fixed effects to capture time-invariant characteristics of wards that are correlated with political participation, such as the entrenched relationship of the ward political elite to the central city government. The year fixed effect controls for time trends affecting all cities and ethnic groups, for instance, the national debate regarding closing the border. I also include fixed effects for each ethnicity in the study, which allows each immigrant group to have different baseline probability of political participation.

To examine the relationship between the electorate share of ethnic enclaves and political mobilization, I estimate equations of the form:

$$ Naturalized_{kt} = \alpha + \beta(Electorate\ Share)_{kt} + \delta(Total\ Size\ of\ Ward\ Electorate)_{kt} + \gamma(Individual\ Controls)_{kt} + \theta(Ward)_{kt} + \lambda(Year)_{kt} + \mu(Group)_{kt} + \epsilon_{kt} $$

(2)

Notes: Data source is 1900 and 1910 IPUMS samples for individual characteristics and Ancestry.com for ward electorate variables. The immigrant sample includes foreign-born Czechs, Germans, Greeks, Italians, Poles, and Russians who have lived in the U.S. for between two and fifteen years observed in the wards of Baltimore, Boston, Chicago, Manhattan, and Philadelphia included in the panel. See Data Appendix for the list of included wards. The share of ward electorate is computed using the number of foreign-born men from that group aged 21 and over as the numerator and the total number of men aged 21 and over living in the ward as the denominator. Foreign-born men who have lived in the U.S. for less than two years are and thus ineligible for citizenship are excluded from the electorate. The Irish electorate share includes both first and second-generation Irish immigrants. The naturalized variable is equal to one if the immigrant has applied for first or second papers. Immigrants are defined as living in an enclave if the ward population of their ethnic group in 1900 contained at least 400 individuals who have lived in the United States since 1890 and outside an enclave if there are fewer than 400 such individuals.

4.3. Summary statistics in the panel dataset.

The objective of the empirical work is to ascertain whether an immigrant’s likelihood of becoming politically mobilized, as measured by citizenship attainment, depends on his ethnic group’s share of the local electorate.

Electoral Demobilization” (1896–1928) noted by Kleppner and others, which saw increased barriers to voting and waning levels of political participation across groups and regions in the United States. Another factor contributing to the decline in the share of the foreign born who were naturalized between 1900 and 1910 was the recession of the late 1890s, which was accompanied by a steep drop in the number of European immigrants arriving each year. Willcox (1929) estimates that 297,349 Europeans came to the United States in 1899. This number rapidly rose to 814,507 by 1903 and remained high throughout the first decade of the twentieth century. Thus there were relatively more recently arrived immigrants who had not yet initiated citizenship proceedings in the United States in 1910 than there were in 1900.
where $i$ indexes individuals, $j$ indexes the ward, $k$ indexes the ethnic group (Czechs, Germans, Greeks, Italians, Poles, and Russians), and $t$ indexes the census year.\textsuperscript{19} Individual controls include literacy in any language, age, and a series of dummies for years lived in U.S. I include literacy because the ability to read was likely acquired before an immigrant came to the United States and would have simplified the naturalization and registration process. Electorate share is computed using the number of foreign-born men from that group aged 21 and over as the numerator and the total number of men aged 21 and over living in the ward as the denominator. I restrict the sample to foreign-born men aged 21 and over who have been in the U.S. for at least two years since only they were eligible to both naturalize and vote in both the numerator and denominator. The dependent variable is equal to one if the immigrant has applied for first or second papers. Standard errors are clustered at the ward-group level (Bertrand et al., 2004).

The primary difficulty in estimating the causal effect of electorate share on political mobilization comes from the fact that immigrants were not randomly distributed across wards, and those who were the least likely to naturalize may have been drawn to the largest ethnic enclaves within a city. The selection concern is particularly acute in this context because of the large share of immigrants who sought temporary employment in the United States and then returned to their home countries a few years after.\textsuperscript{20} If these temporary immigrants were attracted to large enclaves and at the same time unlikely to seek citizenship, the pool of potential voters in these wards would appear larger than it actually was and the electorate share effect could be biased. Because they could not vote, I drop all immigrants who had been in the United States for less than two years from the electorate group share and size variables; this sample restriction should also have the effect of reducing the bias on the electorate share coefficient because immigrants intending to repatriate would be concentrated amongst the most recent arrivals.

I use two other approaches to address the concern that sorting could be driving the observed relationship between immigrant electorate share and naturalization. First, I characterize wards by their potential for a Liturgical Democratic coalition using the insights from the historical context and model in Section 2. The main testable prediction of the model was that immigrants should mobilize when they are large enough to form a winning coalition with the most closely aligned political party. I use the size of the first and second-generation Irish population, an earlier arriving ethnic group that voted overwhelmingly Democratic, to measure the size of the ex ante Liturgical Democratic Party in each ward. I then compute the sum of the potential electorate comprised of the Irish plus an immigrant’s group. Immigrants living in enclaves where this sum was 30 or even 40% of the electorate should have had better prospects for successful coalition formation than immigrants living in enclaves where this sum was a smaller share of the electorate.

Not all Democratic voters were Irish or new immigrants, but using these demographic measures allows me to sidestep issues associated with using election results to measure Democratic strength (see Shachar and Nalebuff, 1999 for a discussion of the limits of ex post election data for measuring contestability). If immigrants were naturalizing for reasons unrelated to strategic political mobilization, one should expect to see similar patterns of citizenship attainment regardless of the prospects for a Liturgical Democratic coalition. On the contrary, I show immigrants mobilized in places where their vote was likely to be decisive.

The second approach I use to investigate whether sorting could drive the observed relationship between electorate share and naturalization status is to use English speaking ability as a placebo test. Language acquisition is arguably the most important indicator of assimilation we can observe in the census. If the observed patterns of citizenship attainment are the outcome of a process unrelated to immigrant group political mobilization, for instance because immigrants who are the most likely to stay in the United States sort into enclaves of a particular relative size, then the relationship between electorate share and English acquisition should exhibit the same nonlinear shape observed for citizenship attainment. I show that this is not the case in the next section.

5. Results

5.1. Naturalization and voter turnout

I begin by showing the relationship between naturalization and voter registration and turnout. Table 2 reports the results of the estimation of Eq. (1) using the Chicago ward data. I first explore whether a higher number of naturalized immigrants is associated with greater voter registration. The first column reports the relationship between the numerical size of each portion of the electorate and the number of registered voters in each ward. One naturalized immigrant is associated with .913 additional voter registrations while the effect for native-born white men is .704 (both significant at the 1% level). This result suggests that many immigrants did vote after they became naturalized and in fact voted at a higher rate than native-born whites. In the second column I present the results from a specification with the groups expressed as shares of the electorate with registered voters (as a share of eligible men) as the dependent variable, controlling for the size of each ward’s population. The omitted group is non-white men in this specification. Relative to non-white men, an increase in the share of the electorate composed of naturalized men is positive and significantly associated with higher voter registrations. The effect for the share of the eligible population composed of native-born white men is insignificant.

Voter registration is a more persistent measure of political engagement than turnout in a particular election; however, I also perform a similar exercise using the mayoral elections of 1901 and 1911 in the city of Chicago. The third column of Table 2 reports the relationship between the numerical size of each portion of the electorate and the number of ballots cast in Chicago’s elections by ward. The coefficient on the number of naturalized foreign-born men is equal to .280 and significant at the 10% level, similar in size and significance to the coefficient on native-born whites. The coefficient on native non-white men is negative and close to zero. In the fourth column I present the results from a specification with the groups expressed as shares of the electorate with voter turnout (as a share of registered voters) as the dependent variable, controlling for the size of each ward’s population. Again, only an increase in the share of the electorate composed of naturalized men is positive and statistically significantly associated with higher turnout in mayoral elections relative to non-white men. Although the link between naturalization and voter turnout is indeed noisier than the link between naturalization and voter registration, the results also suggest a positive relationship between citizenship attainment and political participation.

Ward redistricting prevents me from including ward fixed effects in the regressions from Panel A, leading to the concern that omitted variables could be driving the observed relationships between naturalized men and electoral outcomes. To address this concern, I perform a similar analysis using congressional and presidential voter turnout at the county level. These results, which include county fixed effects, are presented in Panel B. Whether I use data from congressional or presidential elections, one additional naturalized immigrant is significantly associated with about .9 additional ballots cast (first two columns). The nearly one-to-one link between naturalizations and ballots strongly suggests that immigrants became citizens with the intention of voting. An
The omitted demographic group in the voter turnout and share registered regressions in both panels is non-white men aged 21 and above. Observations 5467 5467 5467 5467
Mean of dependent variable 11,072 0.788 9464 0.725
Observations 70 70 70 70
R-squared 0.949 0.669 0.557 0.532
Notes: The Chicago ward-level voting data in Panel A are from Skogan (1976) and the demographic data are from IPUMS samples for 1900 and 1910. The national county-level data in Panel B are from ICPSR 8611 (Electoral Data for Counties in the United States) and the demographic data are from IPUMS samples for 1900 and 1910. The IPUMS samples for both the ward and county regressions are restricted to men aged 21 and above who were eligible to vote. The specifications include controls for share literate, share holding white collar jobs, and dummies for five age categories (21–30, 30–40, 40–50, 50–60, over 60). The specifications include year fixed effects but not ward fixed effects because the wards were redistricted shortly after the 1900 census. The turnout and share registered specifications include an additional control for ward population. Mayoral turnout is computed as the number of ballots cast in each election as a share of registered voters. The share registered is computed as the number of registered voters as a share of men aged 21 and above. The 1912 presidential election is used for the remainder of the paper. Nonetheless, the results from Table 2 framework to study political mobilization, and I use such an approach for the remainder of the paper. Nonetheless, the results from Table 2 are consistent with higher voter turnout amongst naturalized immigrants compared with resident aliens and similar to Tuckel and Maisel (1994), who show that voter turnout in early twentieth century urban elections is positively correlated with the fraction of the electorate that is foreign born and naturalized.

5.2. Measuring political mobilization using naturalization status: nonparametric evidence

In this section I present the empirical results of the effect of immigrant electorate share on political mobilization as measured by citizen- ship attainment. The model presented in Section 2 predicts that the relationship between electorate share and naturalization will be nonlinear. In particular, I expect a positive relationship between electorate share and political mobilization as smaller groups grow to the point of becoming decisive in ward elections (the extensive margin effect). However, this effect should taper off or even reverse as larger groups grow beyond the relative size needed to form a minimal winning voting.” Furthermore, the negative effect on non-white men in the first two columns of Panel B suggests that some of these results may be driven by unobserved trends in voting behavior in places with more racial and ethnic minorities. The difficulty associated with interpreting these results underscores the advantage of using individual-level data in a panel framework to study political mobilization, and I use such an approach for the remainder of the paper. Nonetheless, the results from Table 2 are consistent with higher voter turnout amongst naturalized immigrants compared with resident aliens and similar to Tuckel and Maisel (1994), who show that voter turnout in early twentieth century urban elections is positively correlated with the fraction of the electorate that is foreign born and naturalized.
coalition, leading to a decline in the payoff of mobilizing additional members of the immigrant group (the intensive margin effect).

To investigate the presence of such a nonlinear relationship in the data, I begin by documenting the empirical relationship between electorate share and naturalization nonparametrically. In particular, I appeal to the Frisch-Waugh-Lovell theorem and purge both naturalization status (the dependent variable) and electorate share (the independent variable of interest) of the other independent variables from Eq. (2).\(^{21}\) Year, ward, and group fixed effects are also purged from both variables; the nonparametric regressions thus illustrate the same variation used in the panel estimation.

Fig. 1 presents local linear regression estimators of the electorate share residual plotted against the naturalization residual for the full enclave sample and key subsamples. Fig. 1A shows that for the full sample of immigrants living in enclaves, the relationship appears nonlinear, with an increasing relationship for smaller electorate shares which tapers off for the largest electorate shares. The empirical evidence is thus consistent with the model of immigrant political mobilization discussed in Section 2. To further support the political interpretation of the results, Fig. 1B through D presents the same nonlinear regression discussed in Section 2. To further support the political interpretation of the results, Fig. 1B through D presents the same nonlinear regression for subsamples by potential for a Liturgical Democratic coalition. Fig. 1B shows the result for enclaves where the Liturgical Democratic coalition measure (own immigrant group electorate share plus Irish electorate share) was below the median of 30%. Although a weak upward trend is apparent, the effect is not statistically different from zero at any point on the distribution.

In contrast, Fig. 1C shows the same regression for the immigrants living in enclaves where the coalition measure was above 30%. Intuitively, this sample shows wards where Liturgical Democrats would have the potential to be a large minority with the vote of the new immigrants. The inverse U-shape from the full sample is more pronounced in this graph, suggesting that the nonlinear relationship between electorate share and naturalization is being driven immigrant enclaves with good coalition potential. Fig. 1D shows the regression for immigrants living in enclaves where the coalition measure was above 40%; thus Liturgical Democrats were approaching majority status for these enclaves. The increase in naturalization status for relative small groups is stronger for this subsample although the reduction in sample size increases the confidence interval somewhat.

The nonlinear shape of the electorate share effect and the pattern of the effect with respect to Liturgical Democratic coalition potential strongly suggest that immigrants respond to political incentives to mobilize politically. However, one may still be concerned that the relationships presented in Fig. 1B through D reflect sorting of immigrants with different propensities to naturalize across wards according to a factor correlated with the share of the population that is Irish. I develop a placebo test to rule out a sorting explanation using another key marker of assimilation, the ability to speak English. Fig. 1E shows a nonparametric regression on the full sample of immigrants living in enclaves using ability to speak English as the dependent variable. The relationship is markedly different, with a negative trend apparent across the full electorate share range.

The result from the English acquisition placebo test suggests that immigrants with the greatest potential to assimilate would have been drawn towards relatively smaller enclaves, a pattern that consistent with the evidence that the economically weakest migrants gain the most from living in large enclaves (Edin et al., 2003). Furthermore, this finding suggests that sorting is unlikely to be driving the extensive margin effect for relatively small groups. However, I cannot rule out that sorting by assimilation likelihood could contribute to the observed intensive margin effect (e.g. the tapering off of the electorate share effect for immigrants from the relatively largest enclaves). I thus focus on the extensive margin effect for the remainder of this section, quantifying in particular the increase in naturalization likelihood for immigrants living in relatively smaller enclaves.

5.3 Measuring political mobilization using naturalization status: parametric evidence

In this section I discuss the parametric regression results for immigrant men living in enclaves. In general I find little evidence of mobilization of immigrant groups living outside of enclaves.\(^{22}\) Table 3 shows the results from a probit estimation of Eq. (2) for all immigrants living in enclaves and the key subsamples (average marginal effects reported). I model electorate share with a quadratic term to capture the predicted nonlinearity. The model and nonparametric regressions indicate that the linear term should be positive and the quadratic term negative, consistent with the inverse-U shape. Column (1) shows the result for the full enclave sample. The coefficients are 2.2 and —6.0 for the linear and quadratic term, respectively, and they are individually and jointly significant at the 5% level. The next four columns present the two partitions of the data presented earlier, subdividing the sample at the 30% and then 40% Liturgical Democratic coalition measure. The linear regression results support the nonparametric regression findings: the nonlinear relationship between electorate share and naturalization is being driven by immigrants living in enclaves with good coalition potential with their most likely political allies.

The coefficients from the regression on the subsample with above 30% coalition potential in column (2) are 2.8 and —7.8 on the linear and quadratic term, respectively. These results suggest that moving from 8 to 16% of the electorate (a standard deviation below the mean to the mean electorate share) is associated with an 8 percentage point increase in naturalization likelihood, an increase of 17% with respect to the mean (49% of immigrants in the sample have commenced naturalization proceedings). The parabola implied by these results has a peak at 18% electorate share, which is reasonably consistent with the simulations presented in Appendix Figure 1 for cases when the native-born Democratic Party is between 30% and 40% of the electorate. Since not all Democratic voters are captured by the demographic measure I use, these values reflect the most likely case in wards with good coalition potential: the Democrats were able to form a minimal winning coalition if they mobilized an immigrant group that had reached 10 to 20% of the electorate.

For the above 40% coalition sample in column (4), the respective coefficients are 5.7 and —16.1. The parabola implied by these coefficients has a similar peak, but the same standard deviation increase in electorate share is associated with a 15 percentage point increase in naturalization likelihood, an increase of 30% with respect to the mean. The return for mobilizing a new immigrant group in these wards was likely greatest because the Liturgical Democrats could possibly win the aldermanic seat by themselves, reducing the need to incorporate additional coalition partners. For the poorer coalition prospect subsamples in columns (3) and (5), the results have the expected sign but are insignificant.

Importantly, it is not the case that immigrant enclaves with worse coalition potential comprise systematically different shares of the electorate. For enclaves with coalition potential greater than 30%, the 25th and 75th percentiles of electorate share are 9% and 22%, respectively. For enclaves with coalition potential less than 30%, the same percentiles are 7% and 17%. Thus, the differential result for immigrants living in enclaves with worse coalition potential is more likely to be driven by political strategy rather than dearth of enclaves in the 10 to 20% electorate share range.

\(^{21}\) Specifically, to obtain the “electorate share residual” I regress electorate share on every independent variable in Eq. (2) except electorate share. To obtain the “naturalization residual” I regress naturalization status on every independent variable in (2) except electorate share.

\(^{22}\) See the Appendix for a discussion of the results for immigrants living outside of enclaves.
I subject the main results to a series of robustness checks. First, I investigate including second-generation Germans in the Liturgical Democratic coalition measure since many urban Germans were Lutherans who likely voted Democratic. Splitting the sample by this new coalition measure at 30% produces similar results. I continue to use the Irish definition (own group electorate share plus Irish electorate share greater than 30%) as my baseline definition of enclaves with good coalition potential since there were no segments of the Irish population that tended...
to vote Republican as there were with Germans (e.g. German Pietists, see Kleppner, 1979).

In Table 4, I present further robustness checks for the full enclave sample (Panel A) and for enclaves with good coalition potential (Panel B) with the baselines reproduced in the first column. The next two columns assess the sensitivity of the results to the restriction on the years lived in the United States. The electorate share effect from immigrant mobility has attenuated the results.\(^{23}\) In column (4) I drop Germans, the largest ethnic group from the analysis, and show that the results for the smaller ethnic groups are similar. In column (5) I include city-by-year fixed effects to address the potential for correlation

\(^{23}\) To the best of my knowledge there is no source that would allow me to systematically account for the mobility of these immigrants since the Census Bureau did not ask about internal migration until 1940.
between trends in citizenship attainment and immigrant settlement at the city level. The coefficients are virtually unchanged.

I have thus far focused on immigrant group political mobilization, assuming that ward political bosses viewed immigrants as voting blocs. It is also possible that aldermen could have formed a coalition across new immigrants groups, meaning that the overall share of new immigrants in a ward should matter for political mobilization. Table 5 reports the results of a regression where the independent variable of interest is the sum of Czech, German, Greek, Italian, Polish, and Russian immigrants in the electorate, again restricting the sample to individuals who have been in the U.S. for between two and fifteen years. The first three columns show the results for the full enclave sample and then enclaves with good and poor coalition potential (using the baseline Liturgical Democratic coalition measure). Total new immigrant electorate share does not appear to predict naturalization for any sample.

I next investigate whether there were spillovers from the Germans, who formed the largest and most established ethnic group, onto the newer immigrants. When I run the same specification on the non-German immigrants, I find that the total share of the electorate composed of immigrants predicts naturalization in the same nonlinear manner as predicted by the model, and furthermore that the effect is driven by wards with good Liturgical Democratic coalition potential (columns 4–6). The results from column (5), which show the estimates for non-German immigrants living in wards with good coalition potential, suggest that those moving from .19 to .35% of the electorate (a standard deviation below the mean to the mean total immigrant electorate share) is associated with a 15 percentage point increase in a ward share, an increase of 30% with respect to the mean naturalization rate. There is thus evidence for immigrant political mobilization spillovers, but not for all major immigrant groups living in cities at this time.

6. Conclusions

Although economists have focused extensively on the question of how immigrants access public goods, the question of how they become politically mobilized and vote has been largely unexplored. The process by which these newcomers become integrated into democratic political systems is particularly relevant because the flow of immigrants over the past century has primarily been from monarchies and empires to democracies like the United States. In this paper, I used a novel dataset and empirical approach to investigate how immigrants joined the American electorate. Specifically, I used the citizenship attainment of immigrants during a period when the United States maintained a nearly open border to measure political mobilization. The naturalization approach allows me to expand beyond the ecological regression framework employed in much of the previous literature on ethnic and racial political behavior.

I find that immigrants were more likely to naturalize as their ethnic group’s share of the local electorate grew, and the effect is concentrated in wards where the benefits of mobilization were potentially large due to the potential for a Liturgical Democratic coalition. I find no significant electorate share effects for immigrants living outside of enclaves; however, immigrants who selected out of enclaves were more likely to naturalize, all else equal. An interesting question for future research concerns the persistence of (or withering away of) ethnic voting. What are the factors that encourage immigrants and their descendants to de prioritize ethnic identification and stratify into other political interest groups?

Appendix A. Supplementary data

Supplementary data to this article can be found online at http://dx.doi.org/10.1016/j.jpubeco.2016.02.004.

References


Table 5

<table>
<thead>
<tr>
<th></th>
<th>All enclaves</th>
<th>Own + Irish ≥ 30% enclaves</th>
<th>Own + Irish &lt; 30% enclaves</th>
<th>Non-German enclaves</th>
<th>Own + Irish ≥ 30% non-German enclaves</th>
<th>Own + Irish &lt; 30% non-German enclaves</th>
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<tr>
<td>Total immigrant electorate share</td>
<td>0.659</td>
<td>0.62</td>
<td>1.438</td>
<td>2.156</td>
<td>2.343</td>
<td>2.131</td>
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<td>Total immigrant electorate share sqd.</td>
<td>(0.580)</td>
<td>(0.657)</td>
<td>(1.437)</td>
<td>(0.578)</td>
<td>(0.615)</td>
<td>(1.993)</td>
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<td>Ward electorate size (1000s)</td>
<td>0.001</td>
<td>0.014</td>
<td>0.009</td>
<td>0.015</td>
<td>0.033</td>
<td>0.008</td>
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<td>Joint significance of size and share vars</td>
<td>0.319</td>
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<td>0.285</td>
<td>0.001</td>
<td>0.01</td>
<td>0.006</td>
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<td>4158</td>
<td>1626</td>
<td>3305</td>
<td>2406</td>
<td>899</td>
</tr>
</tbody>
</table>

Notes: See Table 3 for specification details.

a p < 0.01.
b p < 0.05.
c p < 0.1.
Werner, M.R., 1928. Tammany Hall. Doubleday, Garden City, NJ.